



Business Continuity & Disaster Recovery Plan

Newbury, Piret & Co., Inc. (“NPC”) has developed a Business Continuity & Disaster Recovery Plan (the “Plan”) on how we will respond to unforeseen significant business disruptions. Since the impact and timing of such events is unpredictable, we will have to be flexible in our efforts to mitigate any adverse effects. It is our intention to recover promptly and to resume normal business operations as quickly as possible.

To Contact Us -- If after a significant business disruption you cannot contact us as you usually do at (617)-367-7300, you should go to our web site at www.newburypiret.com. If these means fail, call (617) 594-8600 or (617) 594-0595.

Our Business Continuity & Disaster Recovery Plan -- Our Plan addresses: data back-up and recovery; all mission critical systems; financial and operational assessments; communications between NPC and its customers and employees; an alternate physical location of employees; critical business constituents and banks; regulatory reporting and any communications with regulators; and access to client funds and securities.

Disclosure -- While we can not eliminate all risks or guarantee that all systems will be always available or recoverable after any significant business disruption, we can state that it is our plan to recover quickly and return to normal business operations as soon as possible.